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The efficiency of ultra-high molecular weight polyethylene composite against fragment impact

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Abstract

This paper presents an experimental investigation into the ballistic resistance of ultra-high molecular weight polyethylene (UHMW-PE) composite, and compares its performance against a range of common metallic and composite armour materials. An extensive experimental program was conducted to determine the ballistic limit velocity (V_{50}) of UHMW-PE composite against 12.7 mm and 20 mm fragment simulating projectiles (FSP) for a wide range of thicknesses. For protection against these projectiles, UHMW-PE composite was found to be consistently more mass efficient than rolled homogeneous armour steel, high hardness armour steel, aluminium alloy 5059-H131, and polymer composites reinforced with aramid, glass or carbon fibres. In terms of armour space claim, UHMW-PE composite was found to be less efficient than both steel types and glass fibre-reinforced plastic, though was comparable to aramid fibre-reinforced plastic, and was more efficient than aluminium 5059-H131 and carbon fibre-reinforced plastic. Scaling effects were observed that showed metals were more effective against smaller projectiles in terms of armour mass required to stop a given projectile kinetic energy. These effects were not observed to the same extent for UHMW-PE composite, giving rise to higher mass efficiency against larger projectiles.

Keywords: UHMW polyethylene; ballistic impact; composite armour; mass efficiency, space efficiency

1 Introduction

Fibre-reinforced composites such as ultra-high molecular weight polyethylene (UHMW-PE) composite have been shown to provide highly efficient ballistic protection against small calibre fragments [1, 2], projectiles [3], and spheres [4, 5]. For this reason, they have been widely adopted for weight-critical applications such as personal protection (i.e helmets and vests). Owing to their high strength and low weight it is expected that UHMW-PE composite could provide equally high ballistic protection relative to the weight against large calibre, high lethality threats for vehicle protection where thicker sections could be used.

The penetration and failure mechanism of thick UHMW-PE composite against large calibre fragment threats was investigated in [6, 7]. As opposed to thin targets that undergo a single stage of perforation through membrane tension [8], these studies showed thick UHMW-PE composite exhibits two distinct stages of penetration: a localised shear plugging phase followed by bulging of the back face and membrane tension. Fractographic analysis of impacted UHMW-PE composite targets showed evidence of delamination, ply splitting and fibre kinking [6] while fibre compression and shear failure on the target front face and tension on the rear were characterised at the penetration cavity [7]. The ballistic performance of UHMW-PE composite was briefly compared against other fibre-reinforced composites in Nguyen et al. [7], however their efficiency as an armour material was not evaluated. Efficiency, in this instance, is assessed in terms of the mass per unit area of an armour system required to protect against a certain threat, relative to the mass of a traditional armour material such as rolled homogeneous armour steel (RHA) required to provide the same level of protection. Mass efficiency is defined as:

$$E_m = \frac{t_{ref} \times \rho_{ref}}{t \times \rho} \quad (1)$$

where t is the armour thickness required to defeat the threat, ρ is the density of the armour material, and the subscript ref refers to the reference condition (e.g. RHA). Another measure of armour efficiency relates to the armour space claim, or the thickness of an armour system required to protect

1 against a certain threat, relative to the thickness of a traditional armour material required to provide
2 the same level of protection. Space efficiency is defined as:
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$$E_s = \frac{t_{ref}}{t} \quad (2)$$

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10 A space and/or mass efficiency value greater than 1.0 indicates a thinner and/or lighter armour than
11 the reference configuration against the same threat. These efficiency factors have been used to
12 evaluate the effectiveness of a range of armour materials including aluminium [9] and ceramics [10,
13 11], but have not been investigated for UHMW-PE composite nor any other fibre-reinforced
14 composite to the authors' knowledge. Not only are the efficiency factors important for armour design
15 considerations, a deeper understanding of the material properties and the penetration mechanisms that
16 give rise to the ballistic efficiency is critical for further improvements in the armour material.
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26 In this paper the performance of UHMW-PE composite is investigated against large calibre (12.7 mm
27 and 20 mm) fragment simulating projectiles (FSPs). An extensive experimental program was carried
28 out to determine the ballistic limit velocity, V_{50} , for UHMW-PE composite and two steels of different
29 hardness for a wide range of thicknesses. The results were used to assess the ballistic performance of
30 UHMW-PE composite compared to existing fibre-reinforced composite and traditional metallic
31 armour materials in terms of mass and space efficiency. Key penetration mechanisms for the different
32 materials are compared in order to provide grounds for the varying efficiency figures.
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2 Ballistic testing

Tests were performed on UHMW-PE composite and steel to determine the ballistic limit velocity against 12.7 mm and 20 mm calibre MIL-DTL-46593 [12] fragment simulating projectiles (FSPs) for various thicknesses. The geometry of the two FSPs is shown in Figure 1.

Figure 1. Geometry of MIL-DTL-46593 fragment simulating projectiles (dimensions in inches) [12]. The projectiles are designed to be scaled versions of the same representative threat. As such, common ballistic characteristics such as length-to-diameter ratio, L/D, are nominally identical for the 12.7 mm and 20 mm calibres.

Testing was conducted using a range of facilities according to the projectile calibre and required impact velocity:

- For the lower impact velocities, typically less than 1000 m/s, tests were performed on 12.7 mm and 20 mm barrels mounted to a universal receiver. Velocity measurements were made via two laser break screens.
- For intermediate velocities, tests were performed using 12.7 mm and 20 mm diameter barrels with a powder breech. Velocity measurements were made via optical chronograph;
- For the highest velocities, tests were performed using 20 mm and 30 mm diameter barrels with a powder breech, in which the sub-calibre FSPs were sabotaged. For these tests flat-backed variants of the FSPs were used. Velocity measurements were made via dual light-sensing skyscreens and confirmed via radar measurement.

1 The V_{50} ballistic limit of the target panels was assessed in accordance with MIL-STD-662 [13],
2 corresponding to the impact velocity at which the panel will be perforated with a 50th percentile
3 probability.
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6 7 2.1 UHMW-PE Composite

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9 Ballistic testing was performed on Dyneema® HB26, a unidirectional composite produced by DSM.
10 The composite consists of approximately 80% fibre volume fraction of Dyneema® SK76 fibres in a
11 polyurethane matrix. The plies are approximately 60 μm thick, are laid in a $[0/90]_n$ stacking sequence
12 and hot pressed under 125°C and 14 MPa of pressure to fuse them together into a monolithic
13 composite panel. Target thicknesses between 10 mm to 100 mm were tested. Not all 75 mm and 100
14 mm thick UHMW-PE monolithic panels could be sourced, so additional panels of these thicknesses
15 were produced through a secondary consolidation process using thinner panels (25 mm and 50 mm) at
16 0.9 MPa and 125°C. Comparison between monolithic panels and those produced through the
17 secondary consolidation process showed no discernible performance difference, although the panels
18 typically separated along these new consolidated planes under impact.
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33 The panels typically had lateral dimensions of 300 mm $\times$ 300 mm, except for the 75 mm and 100 mm
34 thick targets which were 400 mm $\times$ 400 mm. No witness plate was used for the UHMW-PE tests;
35 rather complete penetration (CP) was defined by perforation of the rearmost ply of the composite
36 panel. Test on panels 25 mm thick or less were initially performed with the panels bolted between two
37 8 mm thick steel plates with four 100 mm diameter apertures. This was for economy of target
38 materials, allowing four tests to be performed on the one target. To ensure the V_{50} results were not
39 influenced by the additional confinement of the steel plates, verifications tests were performed with a
40 single shot on a full panel. Results on the confined targets were within the experimental scatter range
41 of the single shot verification tests, consistent with findings from Lee et al. [2]. Targets thicker than
42 25 mm were not testing using the aperture confinement as excessive drawing of material was expected
43 to influence the result.
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2.2 Armour steel

Ballistic tests were performed on two armour steels to provide a baseline for performance comparison. The two steels were BISPLATE® High Hardness Armour (HHA) plate, a nom. 500 HB steel conforming to MIL-DTL-46100 [14], and BISPLATE® High Impact Armour plate (Class 1), a nom. 350 HB steel corresponding to a Class 1 armour plate as per MIL-A-12560 [15]. High Impact Armour is considered analogous to rolled homogenous armour (RHA), and will be referred to herein as RHA steel.

For testing on the steel targets the complete penetration (CP) threshold was defined by light transmission through 0.1 mm thick Al 5005–H34 witness plates spaced 100 mm from the rear face of the target plate.

3 Results

The ballistic limit test results are shown in Table 1. All calculated V_{50} values conform to MIL-STD-662 [13] except the 50 mm thick Dyneema® HB26 against the 12.7 mm FSP, which only used one partial penetration and one complete penetration result to determine the V_{50} .

Table 1. Overview of ballistic test results.

Material	Thickness (mm)	Projectile	V_{50} (m/s)	σ (m/s)
Dyneema® HB26	9.1	12.7 mm FSP	506	26.4
Dyneema® HB26	20	12.7 mm FSP	825.8	17.2
Dyneema® HB26	25.2	12.7 mm FSP	1021.4	8.5
Dyneema® HB26	35.1	12.7 mm FSP	1250.3	36.1
Dyneema® HB26	50.4	12.7 mm FSP	1656.5*	16.3
Dyneema® HB26	10	20 mm FSP	393.9	43
Dyneema® HB26	20	20 mm FSP	620.1	19.6
Dyneema® HB26	36.2	20 mm FSP	901.4	9.8
Dyneema® HB26	75.6	20 mm FSP	1527.6	104.6
Dyneema® HB26	101.7	20 mm FSP	2001.8	91.8
RHA steel	6.6	12.7 mm FSP	612.1	8.5

RHA steel	15.1	12.7 mm FSP	1319.9	14.3
RHA steel	10.3	20 mm FSP	591.9	18.5
RHA steel	15.0	20 mm FSP	698.4	17.4
HHA steel	6.2	12.7 mm FSP	612.5	7.8
HHA steel	10.1	20 mm FSP	593.8	10.9
HHA steel	12.5	20 mm FSP	638.0	16.8

*Calculated from a single PP and CP result, does not meet the minimum requirement of MIL-STD-662 [13]

4 Target Effectiveness

4.1 Comparison with metal

Figure 2 plots the ballistic test results of Dyneema® HB26, RHA steel and HHA steel in terms of a non-dimensionalised ratio of target areal density AD_t , multiplied by projectile presented area A_p , divided by the projectile mass, m_p with additional data for HHA and RHA steels taken from [16–18]. Data on aluminium alloy 5059-H131 from [19] is also included in the plot. The UHMW-PE composite data is shown to collapse upon a single curve. Similarly, there is no apparent difference between the Al 5059-H131 results with 12.7 mm FSPs and 20 mm FSPs. Both RHA and HHA show some scatter between the results. On a mass basis, UHMW-PE composite is shown to have significantly higher ballistic performance compared to the other materials for this class of projectile.

Figure 2. Ballistic limit of UHMW-PE composite for 12.7 mm and 20 mm calibre fragment simulating projectiles, compared to RHA, HHA, and Al 5059-H131 in terms of non-dimensional areal density. [C] for current study.

The mass efficiency of UHMW-PE is plotted in Figure 3 for the 12.7 mm and 20 mm FSP against that of HHA steel and Al 5059-H131, with RHA steel as the reference material. Although only limited results are presented for RHA steel, they are consistent with data from additional sources (e.g. [20]). A validated analytical model developed by Nguyen et al. [7] was used to extrapolate the UHMW-PE results to higher areal densities. For both the 12.7 mm and 20 mm FSP, the mass efficiency of Dyneema® HB26 is much higher than HHA steel and aluminium alloy for the range of target areal densities investigated. The mass efficiency is shown to approach approximately 4.5 for very thin panels with both projectile calibres. As the areal density is increased, the mass efficiency is shown to initially decrease until panel areal densities of approximately 50 kg/m², above which the mass efficiency is seen to asymptote at 3.0 for the 12.7 mm FSP and 3.8 for the 20 mm FSP.

Figure 3. Mass efficiency of targets against (a) 12.7 mm FSP and (b) 20 mm FSP in terms of target areal density.

The resistance to penetration of thin UHMW-PE composite is dominated by membrane stresses when impacted at velocities close to the ballistic limit [7]. As the target thickness decreases, the membrane response becomes increasingly efficient due to decreasing transverse constraint provided by adjacent plies [21]. For thicker UHMW-PE panels, penetration occurs in two stages: an initial shear plugging phase followed by the aforementioned membrane tension phase. Figure 4 shows scanning electron microscope images of the fractured fibres at the penetration cavity of a 35 mm thick target impacted

by a 12.7 mm FSP just above the ballistic limit velocity. Figure 4 (a) and Figure 4 (b) show fibres in the shear plugging stage, exhibiting lateral compression and fibre shearing failure whereas Figure 4 (c) and Figure 4 (d) in the membrane tension stage show fibre elongation and tension failure.

Figure 4. Micrographs of fibre fracture morphology through the penetration cavity of 35 mm target impacted by 12.7 mm FSP at (a) target front face, (b) 9 mm from front face, (c) 18 mm from front face and (d) target back face [7].

The transition from single- to two-phase perforation was experimentally observed to occur at a non-dimensionalised areal density value of about 0.08 for tests close to the ballistic limit [7]. Above this value, the thickness of UHMW-PE composite penetrated in shear plugging is related to the total thickness of the panel by a power law fit, shown in Figure 5. As the ratio of thicknesses between the two penetration phases stabilises, i.e. greater than approximately 0.4 on the non-dimensional areal density axis, the mass efficiency of the UHMW-PE composite is also found to stabilise in Figure 5. This suggests that the membrane tension mechanism is significantly more efficient at protecting against fragment threats on a mass basis than the shear plugging mechanism.

Figure 5. (a) The ratio of UHMW-PE composite panel thickness penetrated in shear plugging, t_s , to the total panel thickness, t , against the non-dimensionalised areal density ratio, fitted to a power law (see [7]). (b) Correlation between the mass efficiency of UHMW-PE composite and the ratio of panel thickness penetrated in shear plugging with respect to the non-dimensional areal density ratio.

It is also of interest to note that the efficiency of HHA steel is shown to decrease with increasing areal density against both calibre FSP threats. High hardness steels is widely known to be susceptible to shear plugging, particularly when impacted by blunt nosed projectiles [22–24]. Adiabatic shear plugging is a low energy failure mode, and thus targets perforated in this manner will be less efficient than those perforated in higher energy modes [22]. Gooch et al. [16] showed experimentally that the ballistic performance of a range of steel types against 12.7 mm FSPs decreases with increasing hardness, which can be directly related to increasing susceptibility to adiabatic shearing.

The space efficiency of UHMW-PE composite is plotted in Figure 6 for the 12.7 mm and 20 mm FSP against that of HHA steel and Al 5059-H131, again using RHA steel as the reference material. For both calibre FSPs, the space efficiency of Dyneema® HB26 is between 40-60% that of RHA steel. The space efficiency of UHMW-PE composite is higher than Al 5059-H131 below areal densities of 65 kg/m^2 for the 12.7 mm FSP and 110 kg/m^2 for the 20 mm FSP.

Figure 6. Space efficiency of targets against (a) 12.7 mm FSP and (b) 20 mm FSP in terms of target areal density.

The ballistic performance of metals (including armour steels) and ceramics is sensitive to projectile scale, i.e. larger projectiles are more efficient penetrators [25–27]. This is because the level of damage accumulates with respect to absolute time and not scaled time, i.e. small scale projectiles will accumulate less damage than large scale projectiles when impacted at the same velocity for the same time period. Furthermore the strain rates (and therefore strain rate hardening) is higher for sub-scale projectiles compared to full-scale projectiles [26, 27]. In Figure 7 the ballistic limit results of RHA steel and UHMW-PE composite are plotted in terms target areal density and non-dimensionalised areal density ratio for each of the 12.7 mm and 20 mm calibre projectiles. The data can be approximated by a linear fit in all instances. When plotted in terms of target areal density there is little to distinguish between the RHA steel and UHMW-PE composite. For both materials the slope of the 20 mm FSP curve is less than that of the 12.7 mm curve, as would be expected considering the relative projectile masses. In terms of non-dimensionalised areal density ratio, however, there is a marked difference between the curves for each material. The UHMW-PE composite shows effectively no difference between the two projectile calibres, while for RHA steel the 20 mm FSP is less effective (i.e. requires less target mass for a given projectile areal density) with increasing areal density ratio.

Figure 7. Ballistic limit of RHA and UHMW-PE in terms of target areal density (a) and (b), respectively, and in terms of the non-dimensionalised areal density ratio (c) and (d), respectively. [C] for current study.

The linear trends derived from Figure 7 are plotted in Figure 8 in terms of projectile scale, i.e. projectile diameter normalised by the diameter of the 20 mm FSP, $D_p/D_{p,20mm\ FSP}$ for a range of target thickness to projectile length ratios. The ballistic limit test results are also plotted in Figure 8. The slopes of the curves are shown to be significantly more pronounced for RHA steel than UHMW-PE composite, indicating that the UHMW-PE composite is less sensitive to projectile scale.

Figure 8. Ballistic limit of (a) RHA and (b) Dyneema HB26 with respect to the projectile scale, where the 20 mm FSP is the reference projectile.

4.2 Comparison with fibre-reinforced composites

Figure 9 compares the V_{50} of Dyneema® HB26 with other fibre-reinforced composites in terms of the non-dimensional areal density ratio. Experimental data for Kevlar® KM2/polyvinylbutyral (AFRP), E-glass/polyester (GFRP) and carbon fibre/epoxy (CFRP) were taken from Cunniff [1] where targets were impacted by small calibre (0.12 g to 8.2 g) steel and tungsten projectiles with a length-to-diameter ratio of approximately 1.0. The UHMW-PE composite is shown to provide higher ballistic performance over the other fibre-reinforced composites for the entire range of areal density values.

Figure 9. Ballistic limit velocity against non-dimensionalised areal density ratio for various fibre-reinforced composites.

The ballistic performance of fibre-reinforced composites is highly dependent on the mechanical properties of the fibre, namely tensile modulus, strain to failure, tensile strength, and the longitudinal wave speed [1]. A high longitudinal wave speed allows the material to more rapidly distribute the impact load, while a high tensile strength increases the amount of stress the fibre can carry prior to failure. Both the longitudinal wave speed and tensile strength of UHMW-PE fibres are higher than that of aramid fibres, contributing to improved ballistic performance. Carbon and glass fibres have high longitudinal wave speeds and tensile strengths; in the case of carbon fibre even exceeding those of UHMW-PE fibre [28], however their ballistic performance is poor in comparison. This can be related to the microstructure of the different fibre types. UHMW-PE and aramid fibres are micro-fibrillar and therefore under lateral strains induced by the impact their performance in the fibre direction is not significantly affected [28, 29]. Carbon fibre has an anisotropic microstructure, which behaves in a brittle manner under lateral strain, leading to failure by fibre crushing rather than redistributing the load in membrane tension. Similarly, glass fibres, although isotropic in microstructure, are also sensitive to compression failure under lateral strain. Carbon and glass fibres, therefore, experience localised fibre failure under transverse ballistic loads [30], so that any advantage of high tensile properties for membrane loading is nullified.

The absence of areal density and projectile data for the aramid, glass and carbon fibre composites in [1] does not permit an evaluation of mass and space efficiency in terms of target areal density, as performed in section 4.1 for the metallic armours. Instead, a linear relationship is derived for each composite material, from which the mass and space efficiency are determined as follows:

$$E_m = \frac{\left(\frac{AD_t A_p}{m_p}\right)_{ref}}{\left(\frac{AD_t A_p}{m_p}\right)} = \frac{(AD_t)_{ref}}{AD_t} \quad \text{and} \quad E_s = E_m \left(\frac{\rho}{\rho_{ref}} \right) \quad (3)$$

Figure 10 and Figure 11 show the mass efficiency and space efficiency of the various composites, where UHMW-PE composite is used as the reference material.

Figure 10. Mass efficiency of fibre-reinforced composites in terms of target ballistic limit.

Figure 11. Space efficiency of fibre reinforced composites in terms of target ballistic limit.

The mass efficiency of UHMW-PE composite is found to be approximately 30% higher than AFRP, 50% higher than GFRP and 60% higher than CFRP. The space efficiency of GFRP and AFRP are higher than that of UHMW-PE for V_{50} values greater than about 500 m/s and 1000 m/s respectively, with GFRP having the highest space efficiency of all four composites above a V_{50} of around 500 m/s. The non-linear relationship between the mass and space efficiency of UHMW-PE and the three other composite materials is considered to be a result of the two-phase penetration mechanism discussed in section 4.1 (see Figure 5(b)). As identified in the previous section, UHMW-PE is most efficient in thin sections that are perforated in membrane tension. As such, the mass and space efficiency of UHMW-PE composite, in comparison with the other composite panels, is highest for thin sections, where target thickness and V_{50} are proportional. For panels sufficiently thick, with sufficiently high V_{50} to demonstrate a two-stage perforation process, the mass efficiency of the AFRP, GFRP and CFRP composites stabilise relative to the UHMW-PE composite.

5 Conclusion

An extensive experimental program was conducted to determine the ballistic limit of UHMW-PE composite against 12.7 mm and 20 mm fragment simulating projectiles (FSPs) for a wide range of

1 thicknesses. The performance of UHMW-PE was compared to that of typical metallic armours, which
2 were rolled homogenous armour steel, high hardness armour steel, and aluminium alloy Al 5059-
3 H131. Against FSPs, UHMW-PE composite was much more mass efficient than the metallic armours
4 (3.0 < E_m < 4.0) and less space efficient than both of the steel armours. Relative to RHA steel, the
5 performance of UHMW-PE composite was more mass efficient against 20 mm FSPs than 12.7 mm
6 FSPs due to sensitivity of the RHA to scaling effects. UHMW-PE composite was not found to be
7 sensitive to projectile scaling.

8 The performance of UHMW-PE was also compared to aramid, glass, and carbon fibre-reinforced
9 composites under fragment impact. UHMW-PE was found to be the most mass efficient of the
10 composites considered, although it was less space efficient than the glass and aramid fibre-reinforced
11 composites for target ballistic limits above 1000 m/s.

12 The effectiveness of UHMW-PE, in comparison with the metallic and composite armours considered,
13 was found to be significantly affected by the mechanism under which penetration occurred. For thin
14 panels, which are subject to perforation by membrane tension, the efficiency was markedly higher
15 than for thicker panels which are perforated in a two-stage process including initial shear plugging
16 followed by membrane tension. Although providing highly mass efficient fragment protection in both
17 penetration modes, the ballistic mass efficiency is maximised when the material response is not
18 localised.

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12.7 mm FSP

20 mm FSP

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Table 1
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Material	Thickness (mm)	Projectile	V_{50} (m/s)	σ (m/s)
Dyneema® HB26	9.1	12.7 mm FSP	506	26.4
Dyneema® HB26	20	12.7 mm FSP	825.8	17.2
Dyneema® HB26	25.2	12.7 mm FSP	1021.4	8.5
Dyneema® HB26	35.1	12.7 mm FSP	1250.3	36.1
Dyneema® HB26	50.4	12.7 mm FSP	1656.5 [*]	16.3
Dyneema® HB26	10	20 mm FSP	393.9	43
Dyneema® HB26	20	20 mm FSP	620.1	19.6
Dyneema® HB26	36.2	20 mm FSP	901.4	9.8
Dyneema® HB26	75.6	20 mm FSP	1527.6	104.6
Dyneema® HB26	101.7	20 mm FSP	2001.8	91.8
RHA steel	6.6	12.7 mm FSP	612.1	8.5
RHA steel	15.1	12.7 mm FSP	1319.9	14.3
RHA steel	10.3	20 mm FSP	591.9	18.5
RHA steel	15	20 mm FSP	698.4	17.4
HHA steel	6.2	12.7 mm FSP	612.5	7.8
HHA steel	10.1	20 mm FSP	593.8	10.9
HHA steel	12.5	20 mm FSP	638	16.8